

FFY2021 - 2023 DBE GOAL FOR THE TOWN OF CARY (GOCARY)

I. Overall DBE Goal

As the recipient of Federal Transit Administration (FTA) federal funds, the Town of Cary (GoCary) must set an overall goal for Disadvantaged Business Enterprises (DBE) to participate in U.S. Department of Transportation assisted contracts. The overall race-neutral goal provides for participation by certified DBE firms and will not be subdivided into group specific goals. Further, no contractor will be subjected to a quota, set aside, or preference.

GoCary, in accordance with FTA Guidelines (49 CFR Part 26), and in consultation with FTA documentation “Tips for Goal-Setting in the Disadvantaged Business Enterprise (DBE) Program” has established a DBE Triennial Participation Goal for FFY 2021 – 2023.

GoCary’s FFY 2021 – 2023 FTA DBE Goal = 3.74% (RN)

DOT-assisted contract amount:	FFY 2021	\$ 6,149,807
	FFY 2022	\$ 1,945,020
	FFY 2023	<u>\$ 2,007,041</u>
Total		\$ 10,101,868
Total dollar amount to be expended on DBE’s:		<u>\$ 377,810</u>

The Town will meet the maximum feasible portion of its overall goal by using race-neutral means of facilitating DBE participation. The Town’s past performance indicates that we have met our goals through race-neutral means and supports an overall race-neutral goal.

II. Goal Development

STEP 1 – Determining the Base Figure 26.45(c): Actual relative availability of DBE’s

- A. The projected DOT-assisted contractible and sub-contractible opportunities over the FFY 2021 – 2023 time period were determined. For each DOT-assisted contractible and sub-contractible opportunity the projected work description and associated NAICS code was identified.

DOT-assisted Contractible and Sub-contractible Opportunities FFY21 – 23

Prime Contractor Three Year Forecast

NAICS	Description	2020 - 2021	2021 - 2022	2022 - 2023	TOTAL BUDGET
	CONSTRUCTION				
237310	Highway, street, and bridge construction	\$ 1,194,841.00	\$ -	\$ -	\$ 1,194,841.00
TOTAL		\$ 1,194,841.00	\$ -	\$ -	\$ 1,194,841.00
	SUPPLIES				
423130	Tires	\$ 22,685.40	\$ 23,365.96	\$ 24,066.94	\$ 70,118.30
423430	IT Hardware & Software	\$ 10,890.47	\$ 11,217.18	\$ 11,553.70	\$ 33,661.35
452319	Safety supplies	\$ 1,934.03	\$ 1,992.05	\$ 2,051.81	\$ 5,977.90
423120	Bus/Vehicle Parts & Shop Tools & Equipment	\$ 40,746.62	\$ 41,969.02	\$ 43,228.09	\$ 125,943.74
448190	Uniforms	\$ 3,380.64	\$ 3,482.05	\$ 3,586.52	\$ 10,449.21
453210	Office Supplies	\$ 5,607.40	\$ 5,775.62	\$ 5,948.89	\$ 17,331.92
812331	Linen Supply	\$ 4,786.06	\$ 4,929.64	\$ 5,077.53	\$ 14,793.23
TOTAL		\$ 90,030.62	\$ 92,731.54	\$ 95,513.49	\$ 278,275.65
	SERVICES				
485113	Bus and Other Motor Vehicle Transit Systems	\$ 2,831,915.00	\$ 1,818,278.00	\$ 1,876,497.00	\$ 6,526,690.00
237210	Land acquisition, assembling and subdividing	\$ 2,000,000.00	\$ -	\$ -	\$ 2,000,000.00
561790	Other Services to Buildings and Dwellings	\$ 1,738.64	\$ 1,790.80	\$ 1,844.52	\$ 5,373.96
238220	HVAC	\$ 2,623.25	\$ 2,701.94	\$ 2,783.00	\$ 8,108.19
488410	Towing	\$ 3,202.27	\$ 3,298.34	\$ 3,397.29	\$ 9,897.90
811111	Outside Service - Engine & Collision Repair	\$ 1,295.28	\$ 1,334.13	\$ 1,374.16	\$ 4,003.57
811113	Outside Service - Transmission	\$ 2,194.99	\$ 2,260.84	\$ 2,328.67	\$ 6,784.50
811112	Outside Service - Vehicle Glass Repair	\$ 252.35	\$ 259.92	\$ 267.72	\$ 779.99
811191	Outside Service - Oil	\$ 7,988.91	\$ 8,228.57	\$ 8,475.43	\$ 24,692.91
492110	Mailing	\$ 944.52	\$ 972.86	\$ 1,002.04	\$ 2,919.42
811192	Bus Wraps & Pressure Washing	\$ 9,435.43	\$ 9,718.49	\$ 10,010.05	\$ 29,163.97
561730	Lawn Service	\$ 721.00	\$ 742.63	\$ 764.91	\$ 2,228.54
562211	Industrial Waste Management	\$ 2,623.25	\$ 2,701.94	\$ 2,783.00	\$ 8,108.19
TOTAL		\$ 4,864,934.87	\$ 1,852,288.47	\$ 1,911,527.78	\$ 8,628,751.13
TOTAL		\$ 6,149,806.50	\$ 1,945,020.01	\$ 2,007,041.27	\$ 10,101,867.77

Construction	(1,194,841/10,101,867.77) =	11.83%
Supplies	(278,275.65/10,101,867.77) =	2.75%
Services	(8,628,751.13/10,101,867.77) =	85.42%

- B.** A base figure was developed per 49 CFR 26.45(c) to determine the relative availability of DBEs to perform work on anticipated contracts and sub-contracts.

$$\text{Step One Base Figure} = \frac{\text{Ready, willing, and able DBEs}}{\text{All firms ready, willing, and able (including DBEs and non-DBEs)}}$$

The base figure was initially calculated using the number of DBE's available and desiring work in an eight (8) county radius in North Carolina; Chatham, Durham, Franklin, Granville, Harnett, Johnston, Orange and Wake counties for the numerator and the number of all available firms in those eight (8) counties in North Carolina for the types of contracts we anticipated awarding. The county radius was increased to include any county that surrounded Wake County (the county in which Cary, NC is primarily housed). The data source used to derive the numerator was the NCDOT Directory of Firms (<https://www.ebs.nc.gov/VendorDirectory/default.html>) and the data source

used to derive the denominator was the 2017 United States Census Bureau County Business Patterns (CBP) (<http://www.census.gov/programs-surveys/cbp/data.html>).

Availability of DBE’s FFY21 – 23

NAICS Code	Description	Available DBEs	8 County Establishments	Relative Availability DBE/8 County
237310	CONSTRUCTION - Bus Stop Improvement Phase II			
	Highway, street, and bridge construction	57	55	103.64%
	TOTAL	57	55	103.64%
	SUPPLIES			
423130	Tires	0	12	0.00%
423430	IT Hardware & Software	1	58	1.72%
452319	Safety supplies	0	226	0.00%
423120	Bus/Vehicle Parts & Shop Tools & Equipment	2	90	2.22%
448190	Uniforms	1	65	1.54%
453210	Office Supplies	1	30	3.33%
812331	Linen Supply	1	3	33.33%
	TOTAL	6	484	1.24%
	SERVICES			
485113	Bus and Other Motor Vehicle Transit Systems	1	0	0.00%
237210	Land acquisition, assembling and subdividing	1	49	2.04%
561790	Other Services to Buildings and Dwellings	2	86	2.33%
238220	HVAC	7	678	1.03%
488410	Towing	1	61	1.64%
811111	Outside Service - Engine & Collision Repair	0	502	0.00%
811113	Outside Service - Transmission	0	18	0.00%
811112	Outside Service - Vehicle Glass Repair	1	8	12.50%
811191	Outside Service - Oil	0	57	0.00%
492110	Mailing	1	57	1.75%
811192	Bus Wraps & Pressure Washing	2	94	2.13%
561730	Lawn Service	29	761	3.81%
562211	Industrial Waste Management	1	4	25.00%
	Total	46	2375	1.94%
	OVERALL TOTAL	109	2914	3.74%

Step One Base Figure

Sources: US Census Bureau, 2017 County Business Patterns & NC DOT UCP - DBE Directory

* The Capital Area Metropolitan Planning Organization’s (CAMPO) Transportation Improvement Program represents the Town’s Program of Projects and can be found [here](#).

$$3.74\% = \frac{109 \text{ Ready, willing, and able DBEs}}{2,914 \text{ All firms ready, willing, and able (including DBEs and non-DBEs)}}$$

STEP 2 – Adjustments to the Base Figure 26.45(d): Adjustments to Step 1 base figure.

Per 49 CFR 26.45(d), following calculation of a base figure, all available evidence must be examined to determine what adjustment, if any, is needed to the base figure in order to arrive at the overall DBE goal. The primary form of evidence available is the past participation of DBEs in Transit Division contracting, however the amount of land acquisition and construction contractible opportunities differ from past opportunities and the apportionment and use of the CARES Act funding is unprecedented. Adjusting the base on past participation does not appear to be a legitimate benchmark for future participation as past projects do not reflect an accurate picture of the next three years use of federal funding due to the impact of the COVID-19 pandemic.

Median Past Participation. The first step in adjusting our Step One Base Figure for past participation is to determine the "median" of past participation percentages. Past DBE participation reported for the last three (3) years was used.

	Past DBE Participation
FFY 2017	4.5%
FFY 2018	5.1%
FFY 2019	5.6%

The Step One Base Figure was then adjusted by averaging the median past participation and the Step One Base Figure. This method was selected to not give older reports a disproportionate weight.

Step One Base Figure	3.74%
Median Average Past DBE Participation	5.10%
DBE Goal (Race-Neutral)	4.42%

Calculating a Step 2 adjustment based on median past participation results in a similar figure (4.42%) indicating a Step 2 adjustment is not necessary. Therefore, the Town of Cary (GoCary) is proposing a FFY 2021-2023 FTA DBE Goal of 3.74%.